# Summary Assurance Map - March 2024

**Legend:** Low Assurance (R) · Medium Assurance (A) · High Assurance (G) · No Assurance Information (-)

Column key:
- **IR** = Inherent Risk
- **Level 1 – Management Assurance – Senior Management Assurance Statements (SMAS):** S-AHC (Adults, Housing & Communities), S-CS (Childrens' Services), S-ED (Economic Development), S-ELL (Education & Lifelong Learning), S-GLS (Governance & Legal Services), S-PC (People and Communities), S-PTE (Planning, Transport & Environment), S-Res (Resources), S-Sum (SMAS Summary)
- **Level 1 – Risk Registers (RR):** R-Corp (Corporate Risk Register), R-AHC, R-CS, R-ED, R-ELL, R-GLS, R-PC, R-PTE, R-Res, R-Sum (Directorate Summary)
- **Level 2 – Existing Internal Audit Assurance (IA):** I-AHC, I-CS, I-ED, I-ELL, I-GLS, I-PC, I-PTE, I-Res, I-Sum (Directorate Summary)
- **Level 2 – Wider Assurance (WA):** W-AHC, W-CS, W-ED, W-ELL, W-GLS, W-PC, W-PTE, W-Res, W-Sum (Directorate Summary)
- **AN** = Audit Needs Assessment

## Fundamental Systems — Fundamental – Core System

| Audit | IR | S-AHC | S-CS | S-ED | S-ELL | S-GLS | S-PC | S-PTE | S-Res | S-Sum | R-Corp | R-AHC | R-CS | R-ED | R-ELL | R-GLS | R-PC | R-PTE | R-Res | R-Sum | I-AHC | I-CS | I-ED | I-ELL | I-GLS | I-PC | I-PTE | I-Res | I-Sum | W-AHC | W-CS | W-ED | W-ELL | W-GLS | W-PC | W-PTE | W-Res | W-Sum | AN |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Asset Management | R | G | G | G | A | G | G | A | A | G | A | A | - | R | R | - | - | A | A | A | A | A | R | A | R | - | R | R | R | - | - | - | - | - | - | - | - | - | R |
| Council Tax | R | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | A | A | R |
| Purchasing and Payment Systems | R | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | A | A | R |
| Housing Rents | R | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | G | - | - | - | - | - | - | - | G | - | - | - | - | - | - | - | - | - | A |
| Income and Debtors | R | - | A | - | - | - | - | - | - | A | - | A | - | A | - | - | - | - | A | A | A | - | A | - | A | - | A | A | A | - | - | - | - | - | - | - | - | - | R |
| Housing Benefits / LHA / CTRS | R | - | A | - | - | - | - | - | - | - | - | A | - | - | - | - | - | - | - | A | A | - | - | - | - | - | - | - | A | - | - | - | - | - | - | - | - | - | R |
| Main Accounting | R | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | G | G | - | - | - | - | - | - | - | A | A | A |
| NNDR | R | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | G | G | - | - | - | - | - | - | - | - | - | A |
| Payroll | R | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | A | A | - | - | - | - | - | - | - | - | - | R |
| Pensions and Investments | R | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | A | A | A | - | - | - | - | - | - | - | A | A | - | - | - | - | - | - | - | A | A | R |
| Treasury Management | R | - | - | - | - | - | - | G | G | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | G | G | - | - | - | - | - | - | - | G | G | A |
| Purchasing Cards | A | - | A | - | - | - | - | - | - | A | - | - | - | - | - | - | - | - | - | - | - | - | - | - | R | - | - | R | R | - | - | - | - | - | - | - | - | - | A |

## Governance Functions — Corporate Governance – Assurance

| Audit | IR | S-AHC | S-CS | S-ED | S-ELL | S-GLS | S-PC | S-PTE | S-Res | S-Sum | R-Corp | R-AHC | R-CS | R-ED | R-ELL | R-GLS | R-PC | R-PTE | R-Res | R-Sum | I-AHC | I-CS | I-ED | I-ELL | I-GLS | I-PC | I-PTE | I-Res | I-Sum | W-AHC | W-CS | W-ED | W-ELL | W-GLS | W-PC | W-PTE | W-Res | W-Sum | AN |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Commissioning and Procurement | R | - | - | - | R | - | - | - | - | R | - | - | - | - | - | - | - | - | - | - | G | A | A | A | - | - | A | A | A | - | - | - | - | - | - | - | - | - | R |
| Stores | R | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | A | A | - | - | A | A | A | - | - | - | - | - | - | - | - | - | R |
| IT Governance / Security | R | - | - | - | - | A | - | A | - | A | R | R | - | R | R | - | - | A | - | R | - | - | R | - | - | - | - | A | A | - | - | - | - | - | - | - | A | A | R |
| Mileage and Subsistence | A | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | A | A | A | A | A | A | A | A | A | - | - | - | - | - | - | - | - | - | A |
| Agency Managed Service | A | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | A |
| Ethics and Values | R | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | G | - | - | - | - | G | - | - | - | - | - | - | - | - | - | A |
| Information Governance | R | - | - | - | - | - | - | - | A | A | A | - | R | A | A | A | - | A | A | A | - | - | - | - | G | - | - | - | G | - | - | - | - | - | - | - | A | A | A |
| Performance Management | R | A | A | G | R | A | G | A | A | A | - | - | - | - | - | - | - | - | - | - | G | G | G | G | G | G | G | G | G | - | - | - | - | - | - | - | A | - | A |
| Risk Management Arrangements | R | A | A | G | R | G | A | A | A | A | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | G | G | - | - | - | - | - | - | - | - | - | R |
| Delegation and Decision Making | R | A | A | A | A | A | A | A | A | A | - | - | - | - | - | - | - | - | - | - | A | - | A | - | A | - | A | A | A | - | - | - | - | - | - | - | - | - | R |
| Programmes and Projects | R | R | A | G | A | G | G | G | A | A | - | A | - | A | - | - | A | - | A | A | - | - | A | - | - | - | - | - | A | - | - | - | - | - | - | - | - | - | R |
| Value for Money | R | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | A | A | - | - | - | - | - | - | - | - | - | R |
| Scrutiny / Oversight Functions | A | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | A | - | A | A |
| Health and Safety | R | - | - | A | - | - | - | - | - | A | R | A | - | A | - | - | - | - | - | A | A | - | A | A | A | - | A | G | A | A | - | - | - | - | - | - | A | - | A |
| Future Generations | R | A | A | G | A | G | G | A | A | A | A | - | A | - | A | - | - | - | - | A | - | - | - | - | - | - | - | - | A | - | - | A | - | - | A | - | A | A | A |
| Safeguarding | R | - | - | - | - | - | - | - | - | - | A | A | A | - | R | - | A | - | - | A | A | - | A | - | A | - | A | A | A | A | A | - | - | A | - | - | - | A | R |
| Governance | R | A | A | G | A | G | G | A | A | A | - | - | - | A | - | - | - | - | - | A | A | A | A | A | A | A | A | A | A | - | - | - | - | - | - | - | - | - | A |
| Insurance | R | - | - | - | - | - | - | - | - | - | - | - | R | A | - | - | - | - | - | A | - | - | - | - | - | - | - | G | G | - | - | - | - | - | - | - | - | - | A |
| Partnerships and Collaborative Governance | R | A | A | G | A | G | G | A | A | A | - | - | - | - | - | A | - | A | A | - | - | A | - | A | A | - | A | A | - | - | - | - | - | - | - | - | - | A |
| Business Continuity & Emergency Management | R | - | - | A | - | - | - | - | - | A | A | - | - | - | - | - | - | A | A | - | - | - | - | - | - | - | A | A | - | - | - | - | - | - | - | - | - | A |
| Contract Variations | R | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | R | R | - | - | - | - | - | - | - | - | - | A |
| IT Progammes / Projects | R | - | - | - | - | A | - | A | - | A | - | A | A | - | - | A | - | A | A | - | - | - | - | - | - | - | A | A | - | - | - | - | - | - | - | - | - | A |
| Financial Resilience | R | - | - | - | - | - | - | - | - | - | A | A | - | A | A | A | - | A | A | A | - | - | - | - | - | - | - | A | A | - | - | - | - | - | - | - | A | A | R |
| Payment Card Security - Data Security Standards (PCI -DSS) | R | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | A | A | - | R | - | - | - | - | - | - | R | - | - | - | - | - | - | - | A | - | A |
| Contract Audit | R | - | - | A | - | - | - | - | - | A | - | - | - | A | - | - | - | A | - | A | A | R | R | R | A | - | R | A | A | - | - | - | - | - | - | - | - | - | A |
| Taxation | R | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | A | A | - | - | - | - | - | - | - | - | - | A |
| National Fraud Initiative / Fraud | R | R | G | G | R | G | G | G | G | A | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | A | A | - | - | - | - | - | - | - | - | - | R |
| Investigation Processes | R | R | G | G | R | G | G | G | G | A | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | A | A | - | - | - | - | - | A | - | A | A | A |
| Grant Administration | R | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | A | G | A | G | - | - | - | G | A | - | - | - | - | - | - | - | - | - | A |
| Complaints and Compliments | A | - | - | - | - | - | - | - | - | - | - | A | - | - | - | - | - | - | - | A | G | G | G | G | G | G | G | G | G | - | - | - | - | - | - | - | - | - | A |
| Electoral Services | R | - | - | - | - | - | - | - | - | - | R | - | - | - | - | - | - | - | R | R | - | - | A | - | - | - | - | A | A | - | - | - | - | - | - | - | - | - | R |
| Arms Length Company Assurance | R | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | A | - | A | A | - | A | A | - | - | - | - | - | - | - | - | - | A |
| Welsh Language Standards | R | - | - | - | - | - | - | - | - | - | - | A | - | - | - | - | R | - | A | A | - | - | - | - | A | - | - | A | A | - | - | - | - | - | - | - | - | - | R |
| SOP | R | - | - | G | A | - | - | - | - | A | R | - | - | - | R | - | - | - | - | - | - | - | A | A | - | - | - | - | A | - | - | - | - | - | - | - | - | - | R |